

Message

---

**From:** Ken Norensberg [ken@luxorbd.com]  
**on behalf of** Ken Norensberg <ken@luxorbd.com> [ken@luxorbd.com]  
**Sent:** 7/30/2019 1:13:28 PM  
**To:** John Hall [john.hall@clinton.com]; George Hall [george.hall@clinton.com]; Joe Deperio [joseph.deperio@clinton.com]; Operations Department [Operations@luxorbd.com]  
**Subject:** Re: FINRA Request  
**Attachments:** FINRA Request\_BLX\_July 2019.docx

Gentleman, I received the attached request from FINRA and would like to respond ASAP.

Please review and let's set up a call to discuss.

All the best,

Ken

--

Ken Norensberg, Managing Director  
Luxor Financial Group, Inc  
29 Frost Lane  
Lawrence, NY 11559  
Tel: 347-247-9977

**View Website**      **Ken's Bio**

Any information or service provided by Luxor Financial Group ("LFG") or its representatives is not to be construed or represented as legal advice/opinion. LFG is not a Broker Dealer or Registered Investment Adviser. Neither LFG or its representatives sell or solicit the sale of securities. Additionally, LFG recommends that before acting or utilizing any advice, documents or information provided by LFG that you consult with your attorney, accountant or regulator.